

**Form PTO-1449 Substitute U.S. Department of Commerce  
Patent and Trademark Office**

Application Number	09/750,410
Filing Date	December 28, 2000
First Named Inventor	Gloria C. Li et al.
Art Unit	1635
Examiner Name	Jane Zara
Attorney Docket No.	55672-A-PCT-US/JPW/BJA

**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		5,641,754	06-24-1997	Iverson

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
		WO 97/08184	03-06-1997	Dana-Farber Cancer Institute	

**EXAMINER  
SIGNATURE****DATE CONSIDERED**

\***EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds of Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

Applicants: Gloria C. Li et al.  
Serial No.: 09/750,410  
Filed: December 28, 2000  
**Exhibit A**

Form PTO-1449 Substitute		U.S. Department of Commerce Patent and Trademark Office		Application Number	09/750,410
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				Attorney Docket No.	55672-A-PCT-US/JPW/BJA
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		Extended European Search Report issued August 20, 2007 in connection with European Application No. 07 010 186.0			
		Gu, Yansong et al., (1997) "Ku70-deficient embryonic stem cells have increased ionizing radiosensitivity, defective DNA end-binding activity, and inability to support V(D)J recombination," Proc. Natl. Acad. Sci. USA, 94:15, pgs. 8076-8081			
		Jackson, Stephen, (1997) "DNA-dependent protein kinase," Int. J. Biochem. Cell Biol., 29:7, pgs. 935-938			
		Jin, Shengfang et al., (1998) "Differential etoposide sensitivity of cells deficient in the Ku and DNA-PKcs components of the DNA-dependent protein kinase," Carcinogenesis, 19:6, pgs. 965-971			
		Ouyang, Honghai et al., (1997) "Ku70 is required for DNA repair but not for T Cell antigen receptor gene recombination in vivo," J. Exp. Med, 186:6, pgs. 921-929			
		Shen, Hongxie et al., (1998) "Increased expression of DNA-dependent protein kinase confers resistance to adriamycin," Biochemica et Biophysica Acta, 1381:2, pgs. 131-138			
		Tseng, B.Y. et al., (1994) "Antisense oligonucleotide technology in the development of cancer therapeutics," Cancer Gene Therapy, 1:1, pgs. 65-71			
		Uhlmann, Eugen et al., (1990) "Antisense oligonucleotides: a new therapeutic principle," Chemical Reviews, 90:4, pgs. 543-584			
EXAMINER SIGNATURE		DATE CONSIDERED			
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